

Modern algorithms for one-block quantifier elimination over the reals

Mohab Safey El Din



Joint works with Louis Gaillard and Huu Phuoc Le

One block quantifier elimination

$$\exists \mathbf{x} \in \mathbb{R}^n \quad f_1 = \dots = f_p = 0 \\ g_1 > 0, \dots, g_s > 0$$



$$\Phi(\mathbf{y}) = \Phi_1(\mathbf{y}) \vee \dots \vee \Phi_\ell(\mathbf{y})$$

with

• $\mathbf{x} = (x_1, \dots, x_n)$, $\mathbf{y} = (y_1, \dots, y_t)$

• f_i and g_j in $\mathbb{Q}[\mathbf{x}, \mathbf{y}]$

• $d = \max.$ degree of the input
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$$\exists x \in \mathbb{R} \quad x^2 + bx + c = 0$$



$$b^2 - 4c \geq 0$$

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$$\exists x \in \mathbb{R} \quad ax^2 + bx + c = 0$$



$$b^2 - 4ac \geq 0 \wedge a \neq 0$$



$$a = 0 \wedge b \neq 0$$



$$a = 0 \wedge b = 0 \wedge c = 0$$

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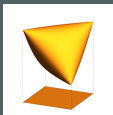
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compute a description of
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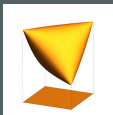
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**The projection of
a semi-algebraic set
is semi-algebraic (Tarski)**

State of the art

n -variate quantified formula

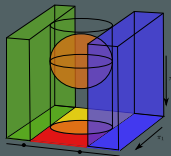
$n - 1$ -variate quantified formula

$n - 2$ -variate quantified formula

⋮

Unquantified formula

Univariate root counting $d \rightarrow d^2$



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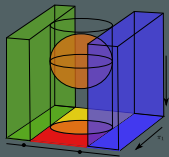
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Cylindrical algebraic decomposition

$$d^{O(2^{n+t})}$$

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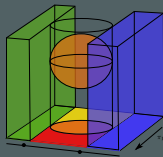
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CAD is at the foundations of
all general QE software

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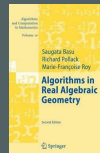
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**There exists an algorithm which performs
one block QE using $s^{(n+1)(t+1)} d^{O(nt)}$ arithmetic operations
and outputs polynomials of degree bounded by $d^{O(n)}$.**

Basu/Pollack/Roy



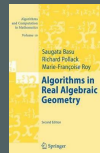
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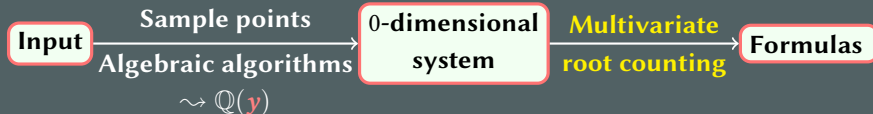
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③ Can we perform **faster in practice** than the best CAD implementations?

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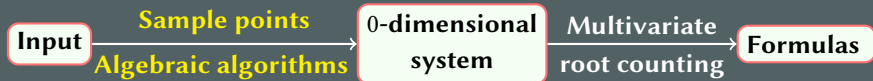
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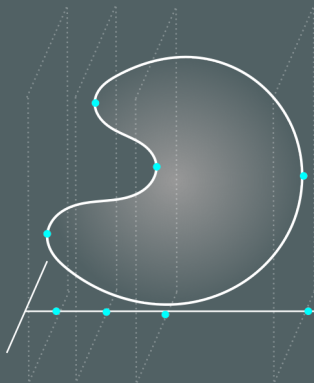
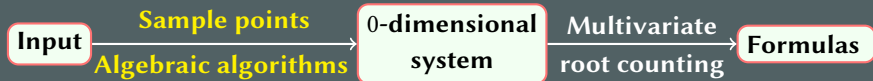
✓ output polynomials are given as **minors of some matrices**

 preliminary implementation → **solves problems previously unreachable**

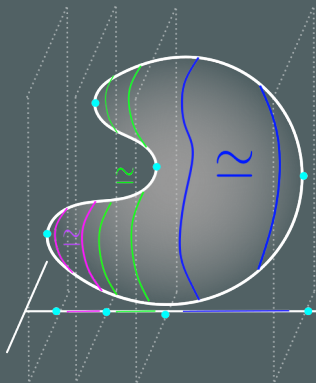
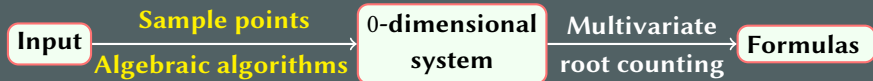
First ingredients (I)



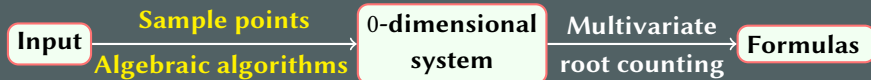
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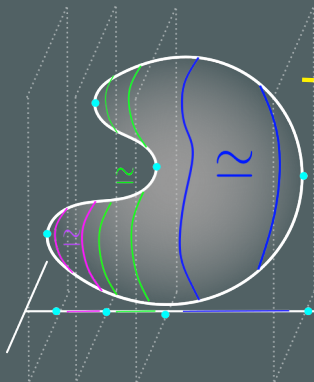


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S./Schost'03

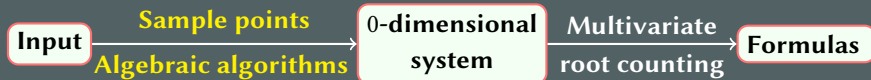
Up to a **generic linear change of coordinates** the projection on the $(x_1, \dots, x_i)$ -subspace of $V_{\mathbb{R}}$ is **closed**.



$$f_1 = \dots = f_p = x_1 = \dots = x_{i-1} = 0$$

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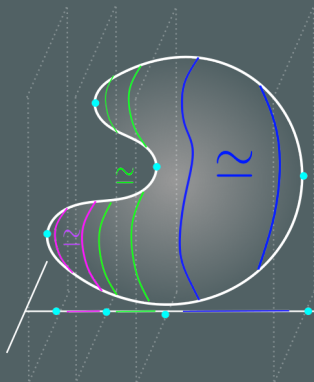
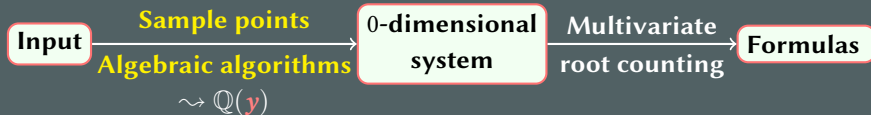
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- ✓ degree bound $d^p(d-1)^{n-i-p} \binom{n-i-1}{p-1}$
- ✓ determinantal ideals $\leadsto$ nice properties

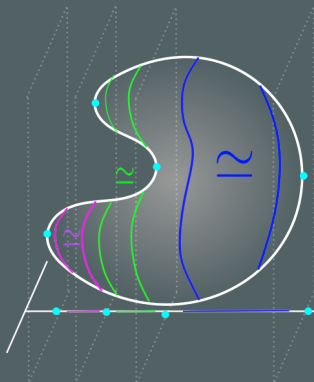
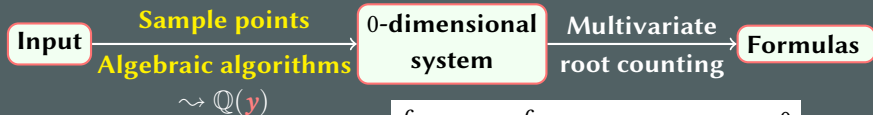
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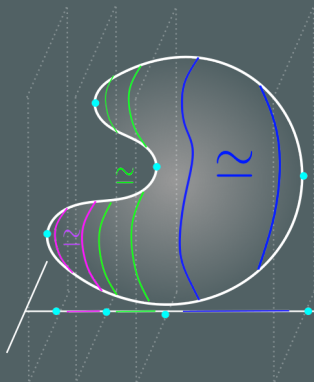
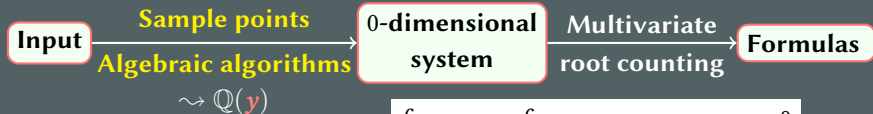


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Parametrized systems of critical points
zero-dimensional + determinantal structure

With parameters, we need a specialization theorem

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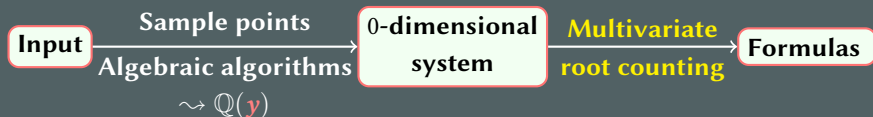
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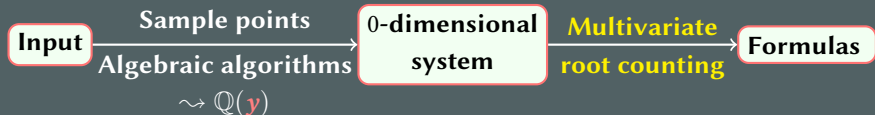
With parameters, we need a specialization theorem

One generic linear change of variables is ok
for almost all parameter's values.

Second ingredients



Second ingredients



Parametrized system with finitely many solutions in $\overline{\mathbb{Q}(\mathbf{y})}$

Let I be the ideal generated by these equations

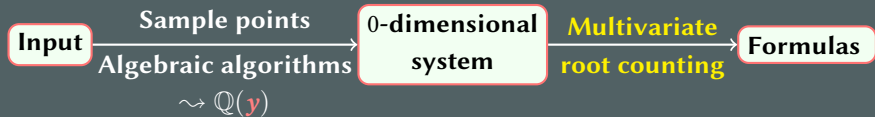
- The quotient ring $\mathbb{A} = \frac{\mathbb{Q}(\mathbf{y})[\mathbf{x}]}{I}$ allows to compute "modulo" the solutions
- The quotient ring $\mathbb{A} = \frac{\mathbb{Q}(\mathbf{y})[\mathbf{x}]}{I}$ is a finite dimensional vector space

Let $\mathcal{B} = \{b_1, \dots, b_\delta\}$ be a basis of $\mathbb{A}$

Gröbner bases

A confluent rewriting system

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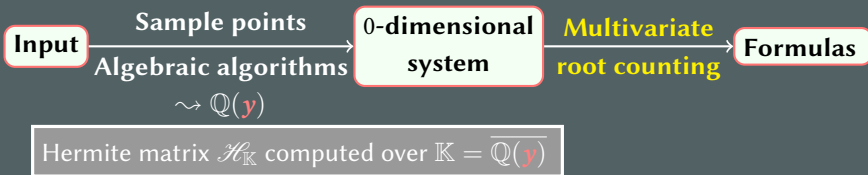
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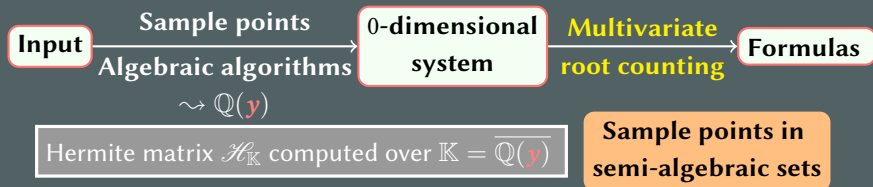


$$\begin{aligned} \mu_g : f \in \mathbb{A}_{\mathbb{Q}} &\rightarrow f \times g \in \mathbb{A}_{\mathbb{Q}} \\ \mathcal{H}_{\mathbb{Q}} : (f, g) \in \mathbb{A}_{\mathbb{Q}}^2 &\rightarrow \text{Tr}(\mu_{f \cdot g}) \rightsquigarrow \text{matrix representation } H_{\mathcal{B}} \\ &\bullet \text{ the rank of } \mathcal{H}_{\mathbb{Q}} \text{ is the number of complex roots} \\ &\bullet \text{ the signature of } \mathcal{H}_{\mathbb{Q}} \text{ is the number of real roots} \end{aligned}$$

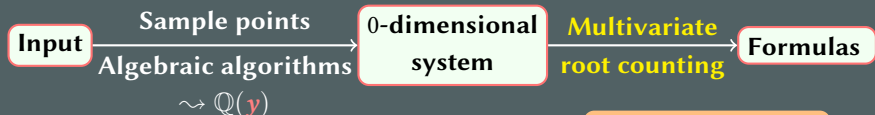
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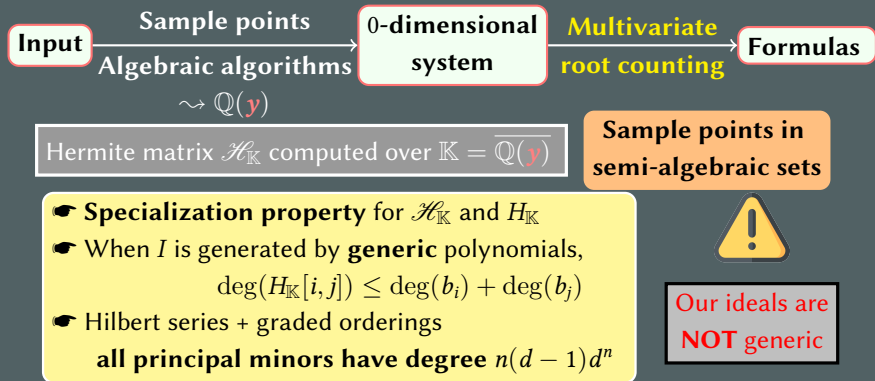


Hermite matrix $\mathcal{H}_{\mathbb{K}}$ computed over $\mathbb{K} = \overline{\mathbb{Q}(\mathbf{y})}$

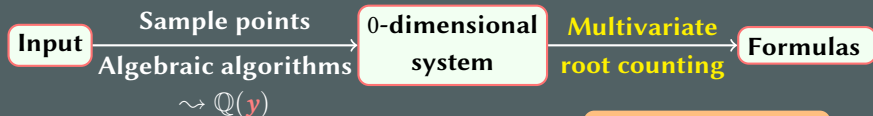
Sample points in
semi-algebraic sets

- **Specialization property** for $\mathcal{H}_{\mathbb{K}}$ and $H_{\mathbb{K}}$
- When I is generated by **generic** polynomials,
$$\deg(H_{\mathbb{K}}[i, j]) \leq \deg(b_i) + \deg(b_j)$$
- Hilbert series + graded orderings
all principal minors have degree $n(d-1)d^n$

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Our ideals are **NOT** generic

The combinatorics of determinantal ideals

Berthomieu/Bostan/Ferguson/S'21

Hilbert series $\left(\sum_{i=0}^{d-1} z^i\right)^p \left(\sum_{i=0}^{d-2} z^i\right)^{n-p} \sum_{i=0}^{p-1} \binom{n-p-1+i}{i} z^{(d-1)i}$

t	n	s	D	MAPLE[QE]	MATHEMATICA[QE]	MAT+DET	SP	total	DEG
2	3	2	2	> 10d	> 10d				
2	4	2	2	> 10d	> 10d				
2	5	2	2	> 10d	> 10d				
2	6	2	2	> 10d	> 10d				
3	3	2	2	> 10d	> 10d				
3	4	2	2	> 10d	> 10d				
3	5	2	2	> 10d	> 10d				

Random dense systems

MAT +DET: compute Hermite matrices + minors DEG: highest degree in outputs
 SP: compute sample points

t	n	s	D	MAPLE[QE]	MATHEMATICA[QE]	MAT+DET	SP	total	DEG
2	3	2	2	> 10d	> 10d	1s	6s	7s	24
2	4	2	2	> 10d	> 10d	9s	2m	2m	40
2	5	2	2	> 10d	> 10d	2m	23m	25m	56
2	6	2	2	> 10d	> 10d	20m	6.5h	7h	72
3	3	2	2	> 10d	> 10d	6s	3m	3m	24
3	4	2	2	> 10d	> 10d	2m	43m	45m	40
3	5	2	2	> 10d	> 10d	1h	14h	15h	56

Random dense systems

MAT +DET: compute Hermite matrices + minors DEG: highest degree in outputs
 SP: compute sample points

t	n	s	D	MAPLE[QE]	MATHEMATICA[QE]	MAT+DET	SP	total	DEG
2	3	2	2	> 10d	> 10d	1s	6s	7s	24
2	4	2	2	> 10d	> 10d	9s	2m	2m	40
2	5	2	2	> 10d	> 10d	2m	23m	25m	56
2	6	2	2	> 10d	> 10d	20m	6.5h	7h	72
3	3	2	2	> 10d	> 10d	6s	3m	3m	24
3	4	2	2	> 10d	> 10d	2m	43m	45m	40
3	5	2	2	> 10d	> 10d	1h	14h	15h	56

Random dense systems

t	n	s	D	MAPLE	MATHEMATICA	MAT+DET	SP	total	DEG
3	4	2	2	> 10d	> 10d	2 m.	10 m.	12 m	34
3	5	2	2	> 10d	> 10d	2 m.	10 m.	12 m	32
4	3	2	2	> 10d	> 10d	20 s.	20 m.	21 m	22
4	4	2	2	> 10d	> 10d	15 s.	18 m.	19 m	20

Random sparse systems

MAT +DET: compute Hermite matrices + minors DEG: highest degree in outputs

SP: compute sample points

Conclusions and perspectives

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- ✓ practical performances that reflect the complexity gains
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- ✓ new complexity results: probabilistic algorithm, genericity assumptions
- ✓ practical performances that reflect the complexity gains
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- ✓ generalization to the case involving inequalities
- ✗ how to compute faster sample points in semi-algebraic sets defined by determinantal representations?
- ✗ how to remove the genericity assumptions?
- ✗ how to remove probabilistic aspects?