From Quantum Automata to Quaternions and Rational Pairing Functions

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Probabilistic automata (Rabin 1963)



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Probabilistic automata

Definition

An *n*-state probabilistic automaton over Σ is a triplet

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P = (\textbf{y}, \{M_a \mid a \in \Sigma\}, \textbf{x}),
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where

- x is an initial stochastic (column) vector,
- $\boldsymbol{y} \in \{0,1\}^n$ is the final (row) vector,
- each $M_a \in \mathbb{R}^{n imes n}$ is a stochastic matrix

Definition

The probability P associates to $w = a_1 \dots a_n \in \Sigma^*$ is given by

$$\mathbb{P}_P(w) = \mathbf{y} M_{a_n} \dots M_{a_1} \mathbf{x}.$$

Definition

For a stochastic automaton P and $\lambda \in [0,1]$ let

$$L_{\geq}(P,\lambda) = \{w \in \Sigma^* \mid \mathbb{P}_P(w) \geq \lambda\}$$

be a cut-point language and

$$L_{>}(P,\lambda) = \{w \in \Sigma^* \mid \mathbb{P}_P(w) > \lambda\}$$

a *strict* cut-point language.

Known properties

- Can define any regular language.
- Not necessary regular: $\{a^nb^n \mid n \in \mathbb{N}\}$ is a (strict) cut-point language (Turakainen 1969)
- If cutpoint is *isolated*, meaning that $(\exists \epsilon > 0)(\forall w)(\mathbb{P}_{P}(w) \notin (\lambda \epsilon, \lambda + \epsilon))$ then regular (Rabin 1963)
- In the isolated case, at most exponential advantage over DFA size (Rabin 1963)

Measure-Once Quantum Automata (1997)

Definition

An *n*-state MO-QFA, aka Moore-Crutchfield QFA over Σ is a triplet

 $\mathcal{Q} = (P, \{U_a \mid a \in \Sigma\}, \mathbf{x}),$

- Where $\mathbf{x} \in \mathbb{C}^n$ is the *initial vector* with the property $||\mathbf{x}|| = 1$,
- $P: \mathbb{C}^n \to \mathbb{C}^n$ is a projection,
- each $U_a \in \mathbb{C}^{n imes n}$ is a unitary matrix. $(U^*U = UU^* = I)$

Definition

The probability $\mathcal Q$ associates to $w = a_1 \dots a_n \in \Sigma^*$ is given by

$$\mathbb{P}_{\mathcal{Q}}(w) = ||PU_{a_n} \dots U_{a_1} \mathbf{x}||^2,$$

where $||\mathbf{x}||$ is the usual L_2 -norm of \mathbf{x} .

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Known properties

- For non-isolated cutpoint, simple examples of non-regular languages such as $\{w \mid |w|_a = |w|_b\}.$
- If cutpoint is isolated, then regular (Ablayev & al. 2000)
- But then only group languages can be recognized (Brodsky & Pippenger 2002)
- Example: Cannot recognize $\{a, b\}^*a$.

Classical vs. Quantum

$$L_{\geq}(A,\lambda) = \emptyset$$
 $L_{>}(A,\lambda) = \emptyset$ PFAUndecidableUndecidableQFAUndecidableDecidable

(Blondel & al. (binary alphabet, 47 states) 2003, Hirvensalo (25 & 21 states) 2007. Decidability assumes matrix entries from $\mathbb{Q}[i]$)

Definition

Given a MO-QFA ${\cal Q},$ if the acceptance function of ${\cal Q}$ is injective, then we call ${\cal Q}$ injective.

Main Theorem (The injectivity problem)

Given a MO-QFA Q, it is undecidable whether Q is injective.

PCP

Given a collection of word pairs $(u_1, v_1), \ldots, (u_n, v_n)$ over an alphabet Δ , decide if there exists a nonempty index sequence $i_1 \ldots i_k$ so that

$$u_{i_1}u_{i_2}\ldots u_{i_k}=v_{i_1}v_{i_2}\ldots v_{i_k}?$$

Alternative formulation

Denote
$$w = i_1 i_2 \dots i_k \in \Sigma^*$$
, where $\Sigma = \{1, 2, \dots, n\}$ and define morphisms $h, g : \Sigma^* \to \Delta^*$ by $h(i_j) = u_{i_j}$, and $g(i_j) = v_{i_j}$. Does there exist a $w \in \Sigma^+$ so that

$$h(w) = g(w)?$$

https://webdocs.cs.ualberta.ca/~games/PCP/list.htm



Figure: An easy case? (3 pairs)

Mixed PCP

Given two morphisms $h,g:\Sigma^*\to\Delta^*$, decide if there exists a word $w=w_1\dots w_n\in\Delta^+$ so that

$$h_1(w_1)\ldots h_n(w_n)=g_1(w_1)\ldots g_n(w_n),$$

where $h_i, g_i \in \{h, g\}$ and at least one $h_j \neq g_j$.

Theorem: Mixed PCP is undecidable

Unitary embedding

- Let U_a and U_b be unitary matrices generating a free group. Then there is an embedding $w \to U_w$ from alphabet $\{a, b\}$ into the group $\langle U_a, U_b \rangle$.
- Let $h, g : \Sigma \to \{a, b\}^*$ be morphisms of a mixed PCP instance. Let also $e : \Sigma \to \{a, b\}^*$ be an embedding.
- For each $\sigma \in \Sigma$ define two unitary matrices $X_{\sigma}^{h} = U_{e(\sigma)} \oplus U_{h(\sigma)}$ and $X_{\sigma}^{g} = U_{e(\sigma)} \oplus U_{g(\sigma)}$, and let X be the union of those matrices.
- Define a QFA with matrices X and input alphabet $\Sigma \times \{g, h\}$.
- For an input word $w = (\sigma_1, f_1) \dots (\sigma_n, f_n) = (u, v)$ we have $X_w = (U_{e(\sigma_1)} \oplus U_{f_1(\sigma_1)}) \dots (U_{e(\sigma_n)} \oplus U_{f_n(\sigma_n)}) = U_{e(u)} \oplus U_{f_v(u)}$, where $f_v(u) = f_1(\sigma_1) \dots f_n(\sigma_n)$. Hence

•
$$X_{w_1} = X_{w_2} \iff U_{e(u_1)} \oplus U_{f_{v_1}(u_1)} = U_{e(u_2)} \oplus U_{f_{v_2}(u_2)} \iff u_1 = u_2 = u$$
 and $f_{v_1}(u) = f_{v_2}(u)$.

Notice that:

- Mixed PCP has a solution iff there are words $w_1 \neq w_2$ so that $X_{w_1} = X_{w_2}$
- The construction requires unitary embedding $w \to U_w$, $X^f_{\sigma} = U_{e(\sigma)} \oplus U_{f(\sigma)}$
- However, the mapping $X_w \to ||PX_w \mathbf{x}||^2$ may not be injective, meaning that the acceptance probability does not uniquely determine X_w .

Embedding of γ_1

Let
$$\Sigma_n = \{a_1, \ldots, a_n\}$$
 and $\Sigma_2 = \{a, b\}$. Then $\gamma_1 : \Sigma_n \to \Sigma_2^*$ is an embedding where $\gamma_1(a_k) = a^k b$

Extension

• Can be extended to
$$\gamma_1: \Sigma_n^* \to \Sigma_2^*$$
 by $\gamma_1(w_1w_2\cdots w_k) = \gamma_1(w_1)\gamma_1(w_2\cdots w_k)$.

Embedding of γ_2

Let
$$\Sigma_2 = \{a, b\}$$
 and define $\gamma_2 : \Sigma_2 \to \mathbb{H}(\mathbb{Q})$ by $\gamma_2(a) = (\frac{3}{5}, \frac{4}{5}\mathbf{i}, 0, 0)$ and $\gamma_2(b) = (\frac{3}{5}, 0, \frac{4}{5}\mathbf{j}, 0)$ with $\gamma_2(\varepsilon) = I_4$. Note that $\{\gamma_2(a), \gamma_2(b)\}$ represent rotations about perpendicular axes by a rational angle

Theorem (Swierczkowski)

If cos(θ) ∈ Q then the subgroup of SO₃(R) generated by rotations of angle θ about two perpendicular axes is free iff cos(θ) ≠ 0, ±¹/₂, ±1.

Proposition

Thus $\langle \gamma_2(a), \gamma_2(b) \rangle$ is freely generated and γ_2 is an injective homomorphism

Embedding of $\gamma_3 : \mathbb{H}(\mathbb{Q}) \to \mathbb{Q}^{4 \times 4}$

$$\gamma_{3}(\gamma_{2}(a)) = U_{a} = \frac{1}{5} \begin{pmatrix} 3 & 4 & 0 & 0 \\ -4 & 3 & 0 & 0 \\ 0 & 0 & 3 & 4 \\ 0 & 0 & -4 & 3 \end{pmatrix}, \quad \gamma_{3}(\gamma_{2}(b)) = U_{b} = \frac{1}{5} \begin{pmatrix} 3 & 0 & 4 & 0 \\ 0 & 3 & 0 & -4 \\ -4 & 0 & 3 & 0 \\ 0 & 4 & 0 & 3 \end{pmatrix}$$

Denote $U_{w} = U_{w_{1}} \dots U_{w_{n}}$ and $R_{1}(U_{w}) = (|(U_{w})_{11}|, |(U_{w})_{12}|, |(U_{w})_{13}|).$

Theorem

- U_a and U_b generate a free group.
- If $R_1(U_u) = R_1(U_v)$, then u = v.
- Requires analysis of quaternion structure for $\gamma_2(a)$ and $\gamma_2(b)$.

Final embedding

By the previous observations $\gamma : \Sigma_k^* \to \mathbb{Q}^{4 \times 4}$ is an injective homomorphism, where $\gamma(w) = \gamma_3(\gamma_2(\gamma_1(w)))$

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Observation

- Matrix $X_u^{f_v} = U_{e(u)} \oplus U_{f_v(u)}$ is fully determined by $R_1(U_{e(u)})$ and $R_1(U_{f(u)})$.
- Mixed PCP has a solution iff there is $(u_1, v_1) = w_1 \neq w_2 = (u_2, v_2)$ so that $R_1(U_{e(u_1)}) = R_1(U_{e(u_2)})$ (which implies $u_1 = u_2 = u$) and $R_1(U_{f_{v_1}(u)}) = R_1(U_{f_{v_2}(u)})$
- Mixed PCP has a solution iff there is $(u_1, v_1) = w_1 \neq w_2 = (u_2, v_2)$ so that

$$\begin{array}{l} (|X_{w_1}|_{11}, |X_{w_1}|_{12}, |X_{w_1}|_{13}, |X_{w_1}|_{55}, |X_{w_1}|_{56}, |X_{w_1}|_{57}) \\ = (|X_{w_2}|_{11}, |X_{w_2}|_{12}, |X_{w_2}|_{13}, |X_{w_2}|_{55}, |X_{w_2}|_{56}, |X_{w_2}|_{57}) \end{array}$$

Tools

Lemma

- a) There exist MO-QFA Q_0 and Q_1 so that $\mathbb{P}_{Q_0}(w) = 0$ and $\mathbb{P}_{Q_1}(w) = 1$ for each $w \in \Sigma^*$.
- b) Given two MO-QFA's Q_1 and Q_2 , complex numbers α and β so that $|\alpha|^2 + |\beta|^2 = 1$, there exists
 - b.1) A MO-QFA Q so that $\mathbb{P}_Q(w) = \mathbb{P}_{Q_1}(w)\mathbb{P}_{Q_2}(w)$
 - b.2) A MO-QFA Q so that $\mathbb{P}_Q(w) = |lpha|^2 \mathbb{P}_{Q_1}(w) + |eta|^2 \mathbb{P}_{Q_2}(w)$

Proof

a) Trivial b.1) Tensor product construction b.2) Direct sum construction

Observation

If
$$P = \text{diag}(0, \ldots, 1, \ldots, 0)$$
 (*j*th position) and $\mathbf{x} = (0, \ldots, 1, \ldots, 0)$ (*i*th position), then

$$||PU\mathbf{x}||^2 = |U_{ij}|^2.$$

Reduction to Mixed PCP

- According to a previous observation, there is a MO-QFA which, on input w = (u, v), produces output (acceptance probability) $|(X_w)_{ij}|^2 = |(U_{e(u)} \oplus U_{f_v(u)})_{ij}|^2$
- From the construction tools, it follows that there exists a MO-QFA producing output (acceptance probability)

$$\begin{aligned} &|\lambda_{1}|^{2} |(X_{w})_{11}|^{2} + |\lambda_{2}|^{2} |(X_{w})_{12}|^{2} + |\lambda_{3}|^{2} |(X_{w})_{13}|^{2} \\ &+ |\kappa_{1}|^{2} |(X_{w})_{55}|^{2} + |\kappa_{2}|^{2} |(X_{w})_{56}|^{2} + |\kappa_{3}|^{2} |(X_{w})_{57}|^{2}, \end{aligned}$$
(1)

where $\lambda_1,\lambda_2,\lambda_3,\ \kappa_1,\kappa_2,\kappa_3$ are complex numbers satisfying $|\lambda_1|^2+|\lambda_2|^2+|\lambda_3|^2+|\kappa_1|^2+|\kappa_2|^2+|\kappa_3|^2=1$

• Mixed PCP has a solution if and only if the same acceptance probability (1) can be obtained for at least two words $w_1 \neq w_2$ (Meaning that the automaton is ambiguous or not injective)

Conclusion

• For the final conclusion, we have to be sure that mapping

$$\begin{array}{l} (|X_{11}|, |X_{12}|, |X_{13}|, |X_{55}|, |X_{56}|, |X_{57}|) \\ \rightarrow \quad |\lambda_1|^2 |X_{11}|^2 + |\lambda_2|^2 |X_{12}|^2 + |\lambda_3|^2 |X_{13}|^2 \\ + \quad |\kappa_1|^2 |X_{55}|^2 + |\kappa_2|^2 |X_{56}|^2 + |\kappa_3|^2 |X_{57}|^2 \end{array}$$

is injective.

• If now $|\lambda_1|^2, \ldots, |\kappa_1|^2, \ldots$, (can be introduced in the initial vector by construction) are linearly independent over \mathbb{Q} , we can conclude that the matrix elements $|X_{11}|^2, \ldots$ uniquely determines the probability.

Forcing linear independence

- Theorem: If n_i are coprime integers, then $\sqrt{n_i}$ are linearly independent over \mathbb{Q} .
- We can then choose $\lambda_1 = \sqrt[4]{n_1}, \ldots$ and a renormalizaton factor to introduce linear independence and the case is closed. QED
- Is this an elegant solution for linear independence? Depends on the judge / no
- Any better? Only using rational numbers?

Observation

• Given a multivariate polynomial $f \in \mathbb{N}_0[x_1, \ldots, x_6]$, the construction tools and some other tricks give a $\lambda \in \mathbb{Q}_+$ and a QFA Q so that

$$\mathbb{P}_Q(w) = \lambda f(|X_{11}|^2, |X_{12}|^2, |X_{13}|^2, |X_{55}|^2, |X_{56}|^2, |X_{57}|^2).$$

• Does there exist a multivariate polynomial $f \in \mathbb{N}_0[x_1, \ldots, x_6]$ which is injective on rational numbers?

Problems

- Does there exist a multivariate polynomial f ∈ N₀[x₁,...,x_n] so that f : Qⁿ → Q is an injection?
- Does there exist a multivariate polynomial $f \in \mathbb{N}_0[x_1, \dots, x_n]$ so that $f : \mathbb{Q}_{\geq 0}^n \to \mathbb{Q}_{\geq 0}$ is an injection?
- Does there exist a bivariate polynomial $f_2 \in \mathbb{N}_0[x, y]$ so that $f_2 : \mathbb{Q}_{\geq 0} \times \mathbb{Q}_{\geq 0} \to \mathbb{Q}_{\geq 0}$ is an injection?

• If we have an injection f_2 for n = 2 then it can be extended:

 $f_3(x, y, z) = f_2(x, f_2(y, z)), \quad f_4(x, y, z, w) = f_2(x, f_2(y, f_2(z, w))), \quad \text{etc.}$

• Does there exist a bivariate polynomial $f \in \mathbb{N}_0[x, y]$ so that $f : \Lambda \times \Lambda \to \Lambda$ is an injection? Here $\Lambda = \{\frac{a}{5^k} \mid k \in \mathbb{N}, a \in \mathbb{N}_0, 0 \le a \le 5^k\}$.

Theorem (Cantor pairing)

$$\mathcal{C}:\mathbb{N}_0 imes\mathbb{N}_0 o\mathbb{N}_0,$$
 $\mathcal{C}(x,y)=rac{1}{2}(x+y+1)(x+y)+x$
a bijection. $\mathcal{C}(0,0)=0,$ $\mathcal{C}(0,1)=1,$ $\mathcal{C}(1,0)=2,$ $\mathcal{C}(0,2)=3,$ $\mathcal{C}(1,1)=4,$

Remark

is

- No degree 2 polynomial bijections exist other than C(x, y) and C(y, x) (Fueter & Pólya, 1923; Vsemirnov, 2001)
- No degree > 2 polynomial bijection $\mathbb{N}_0 \times \mathbb{N}_0 \to \mathbb{N}_0$ exists (P.W. Adriaans 2018)

Observation

$$C\left(\frac{2}{25},\frac{11}{25}\right) = \frac{297}{625} = C\left(\frac{3}{25},\frac{9}{25}\right).$$

More genererally, if 2a + b = 2c + d and e = a + b + c + d, then

$$C\left(\frac{a}{e},\frac{b}{e}\right) = C\left(\frac{c}{e},\frac{d}{e}\right)$$

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G. Cornelissen 1999:

- Question (Harvey Friedman): Does there exist a polynomial injection $\mathbb{Q} \times \mathbb{Q} \to \mathbb{Q}$?
- Reply (Don Zagier): Sure, almost all complex enough polynomials will do, for example x⁷ + 3y⁷ is most likely a desired injection.

Theorem (Poonen 2010)

Assume that there is a homogenous polynomial F(x, y) over rationals so that the rational points in the projective surface X defined as F(x, y) = F(z, w) are not Zariski dense in X. Then there exists a polynomial injection $f : \mathbb{Q} \times \mathbb{Q} \to \mathbb{Q}$.

Conjecture (Bombieri-Lang)

If X is a smooth projective irreducible algebraic surface over rationals of general type. Then the set of rational points of X is not Zariski dense in X.

Remark

"General type" in the above definition refers to the Kodaira dimension. It suffices that F(x, y) is separable, homogenous, and of degree at least 5 (Poonen 2010)

Remark (Cornelissen 1999)

From the (generalized) *abc*-conjecture it follows that $f(x, y) = x^n + 3y^n$ defines an injection $\mathbb{Q} \times \mathbb{Q} \to \mathbb{Q}$ if (odd) *n* is large enough.

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Rational Pairing Function

Theorem

Let
$$\Lambda = \left\{\frac{a}{5^k} | a, k \in \mathbb{N}_0, a < 5^k\right\}$$
. Then $f : \Lambda \times \Lambda \to 25\Lambda$ is an injection, where:
$$f(x, y) = (x^4 + y^4)^3 + x^4$$

Note

• We can estimate the value f(x, y) as

$$|(x^4+y^4)^3+x^4| \leq (1+1)^3)+1=9<25$$

thus $f(x, y) \in 25\Lambda$ thus an injection $f' : \Lambda \times \Lambda \to \Lambda$ can be found be introducing.a normalization factor $\frac{1}{25}$

• Injectivity follows from elementary number theory / Fermat's little theorem

Unique matrix products

As before we can use our monomorphism $\gamma: \Sigma^* \to \mathbb{Q}^{4 \times 4}$ so that it is undecidable to determine if there exists a matrix in the following semigroup with two different factorizations:

 $\mathsf{\Gamma} = \langle \{\gamma(x_j) \oplus \gamma(h(x_j)), \gamma(x_j) \oplus \gamma(g(x_j)) | 1 \leq j \leq |\mathsf{\Sigma}| \} \rangle \subseteq \mathbb{Q}^{8 \times 8}$

Unique encoding of matrix

As before, each element of Γ is uniquely determined by six elements:

$$|X_{1,1}|, |X_{1,2}|, |X_{1,3}|, |X_{5,5}|, |X_{5,6}|, |X_{5,7}|$$

and thus by

$$\mathbf{x} = (X_{1,1}^2, X_{1,2}^2, X_{1,3}^2, X_{5,5}^2, X_{5,6}^2, X_{5,7}^2)$$

Encoding the polynomial

As before, let $f_2(x, y) = (x^4 + y^4)^3 + x^4$ and then define:

 $f_6(x_1,\ldots,x_6) = f_2(x_1,f_2(x_2,f_2(x_3,f_2(x_4,f_2(x_5,x_6))))))$

of degree $d = 12^5$

Proof Idea

Thus, $f_6(\mathbf{x}) = f_6(X_{1,1}^2, X_{1,2}^2, X_{1,3}^2, X_{5,5}^2, X_{5,6}^2, X_{5,7}^2)$ uniquely determines X

Encoding to matrices

$$f_{6}(\mathbf{x}) = \sum_{i=1}^{d} T_{i}(\mathbf{x}) = \sum_{i=1}^{d} \sum_{j=1}^{t(i)} T_{i,j}(\mathbf{x}) = \sum_{i=1}^{d} \sum_{j=1}^{t(i)} c_{i,j} R_{i,j}(\mathbf{x}) \quad c_{i,j} \in \mathbb{N}$$
$$= \sum_{i=1}^{d} \sum_{j=1}^{t(i)} c_{i,j} \prod_{m=1}^{i} a_{i,j,m} \quad a_{i,j,m} \in \{|X_{1,1}|, |X_{1,2}|, |X_{1,3}|, |X_{5,5}|, |X_{5,6}|, |X_{5,7}|\}$$
$$= \sum_{i=1}^{d} \sum_{j=1}^{t(i)} \sum_{k=1}^{4} d_{i,j,k}^{2} \prod_{m=1}^{i} a_{i,j,m} \quad \text{Lagrange's Theorem}$$

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Embedding

Let us consider a particular term $c_{i,j}R_{i,j}$, of degree $i \leq \deg(f_6) = 12^5$. Note that there exists $1 \leq s, r \leq 8^i$ such that $X_{s,r}^{\otimes i} = R_{i,j}(\mathbf{x})$

Theorem

• Define
$$u'_{i,j,k} = d_{i,j,k} \cdot e_r \in \mathbb{Q}^{8^i}$$
 and $P'_{i,j} = e_s e_s^T \in \mathbb{Q}^{8^i \times 8^i}$ and then:

$$P_{i,j}'X^{\otimes i}u_{i,j,k}'=d_{i,j,k}R_{i,j}(\mathbf{x})$$

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Embedding

Finally then define
$$P_{i,j} = \oplus_{k=1}^4 P'_{i,j}, u_{i,j} = \oplus_{k=1}^4 u'_{i,j,k}$$
 and $\zeta_{i,j} = \oplus_{k=1}^4 X^{\otimes i}$

Valuation

$$\begin{aligned} ||P_{i,j}\zeta_{i,j}(X)u||^{2} &= \left\| \bigoplus_{k=1}^{4} P_{i,j}'\zeta_{i,j}'(X)d_{i,j,k}u' \right\|^{2} \\ &= \left(\sqrt{\sum_{k=1}^{4} d_{i,j,k}^{2}R_{i,j}(\mathbf{x})^{2}} \right)^{2} = \sum_{k=1}^{4} d_{i,j,k}^{2}R_{i,j}(\mathbf{x})^{2} = c_{i,j}R_{i,j}(\mathbf{x}^{2}) \end{aligned}$$

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Final embedding

With some more work we can embed the entire polynomial using tensor products and direct sums

Theorem

The injectivity problem for measure-once quantum finite automata is undecidable for $<4*8^{12^5+5}$ states.

Open problem

Is the knapsack variant of injectivity undecidable for MO-QFA?

Example

Given $Q = (P, \{U_1, \ldots, U_\ell\}, \mathbf{x})$, does there exist distinct $k_1, \ldots, k_\ell, k'_1, \ldots, k'_\ell \ge 0$ such that: ||P|

$$|U_1^{k_1}\cdots U_\ell^{k_\ell}\boldsymbol{x}||^2 = ||PU_1^{k_1}\cdots U_\ell^{k_\ell'}\boldsymbol{x}||^2$$

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